

# POSITIVE DIFFERENTIAL OPERATORS IN KREIN SPACE $L^2(\mathbb{R})$

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Consider the weighted eigenvalue problem

$$Lu = \lambda (\operatorname{sgn} x)u, \tag{1}$$

on the whole real line  $\mathbb{R}$  where  $L = p(D)$  is a positive symmetric differential operator with constant coefficients. This problem is a model problem for a more general problem  $Lu = \lambda wu$  with  $L$  a differential operator and  $w$  a function taking both positive and negative values.

Our starting point is the observation that the operator  $A = (\operatorname{sgn} x)L$  is symmetric and positive with respect to the indefinite inner product  $[u, v] = \int u(x)\overline{v(x)}\operatorname{sgn} x dx$ . The space  $L^2(\mathbb{R})$  with this inner product is a Krein space. Once we prove that the resolvent set  $\rho(A)$  is nonempty, H. Langer's spectral theory can be applied. This spectral theory shows that the spectrum of  $A$  is real and its properties on bounded open intervals not containing 0 are the same as the corresponding properties of a selfadjoint operator in a Hilbert space. In particular,  $A$  has a spectral function defined on open intervals in  $\mathbb{R}$  with the endpoints different from 0 and  $\infty$ . The positive (negative, respectively) spectral points are of positive (negative, resp.) type. Therefore 0 and  $\infty$  are the only possible critical points. A critical point  $\lambda$  is *regular* if the spectral function is bounded near  $\lambda$ . In that case the spectral function can be extended to intervals with an endpoint  $\lambda$ . A critical point is *singular* if it is not regular. If neither 0 nor  $\infty$  is a singular critical point, then  $A$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$ . We used this fact in [5] to prove that  $A$  is similar to a selfadjoint operator in the case  $p(t) = t^2$ .

In this paper we generalize this result to more general polynomials  $p$ . The results of this paper are used in the forthcoming paper [6] to extend the results of [5] to a class of partial differential operators. For example, in [6] for  $n > 1$  we prove the following.

*The operator  $(\operatorname{sgn} x_n)\Delta$  defined on  $H^2(\mathbb{R}^n)$  is similar to a selfadjoint operator in  $L^2(\mathbb{R}^n)$ .*

The question of nonsingularity of the critical point  $\infty$  has been considered in [4]. This question leads to the investigation of the domain of  $A$ . In the present case the operator

$A$  is positive (not uniformly positive as in [4]) and this is why the critical point at 0 may appear as a critical point of infinite type. If the spectrum of  $A$  accumulates at 0 from both sides, then 0 is a critical point of  $A$ . To determine whether it is singular or regular we are led to investigate the range of  $A$ . This question is harder than the investigation of the domain. In Section 1 we give a necessary and sufficient condition for  $\mathcal{R}(B) = \mathcal{R}(C)$  for multiplication operators  $B, C$  in  $L^2(\mathbb{R})$ . We also prove several stability theorems for the regularity of the critical points 0 and  $\infty$  of positive definitizable operators in a Krein space. As a consequence we get a stability theorem for the similarity to a selfadjoint operator in a Hilbert space. For related results in this direction see [7]. In Section 2 we consider the differential operators with constant coefficients in  $L^2(\mathbb{R})$ . We give a precise description of the spectrum of the operator  $A$ . Under some additional restrictions on  $p$ , we prove that  $A$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$ . It follows from the general operator theory in Krein spaces that an operator which is positive in the Krein space  $(L^2(\mathbb{R}), [\cdot | \cdot])$  and similar to a selfadjoint operator in the Hilbert space  $L^2(\mathbb{R})$  has the half-range completeness property. We use this fact in Section 3 to show that our results in Section 2 give sufficient conditions for the half-range completeness property for the problem (1).

The Sturm-Liouville problem with indefinite weight has attracted considerable attention; we mention the references quoted in [3, 4] for a partial list. The problem of nonsingularity of the critical points of definitizable operators in Krein spaces has been investigated in [2, 7, 8, 10]. For differential operators with indefinite weights the study of this problem has been motivated by the investigation of the half-range completeness property, cf. [1, 3]. The regularity of the critical point 0 has been considered in [5].

For definitions and basic results of the theory of definitizable operators see [9].

## 1 Abstract Results

In this section we use the method of [2, Lemma 1.8, Corollary 3.3 and Theorem 3.9] to investigate the regularity of the critical points 0 and  $\infty$  of a positive definitizable operator  $A$  in the Krein space  $(\mathcal{K}, [\cdot | \cdot])$ .

The following two lemmas are restatements of [2, Theorem 3.9 and Corollary 3.3] in terms of the critical point 0. We prove the first. The proof of the second one is analogous.

**LEMMA 1.1** *Let  $A = JP$  be a positive definitizable operator in the Krein space  $(\mathcal{K}, [\cdot | \cdot])$  such that 0 is not an eigenvalue of  $P$ . Assume that  $\nu > 0$  and the operator  $JP^\nu$  is definitizable. Then the following statements are equivalent:*

- (a) *The point 0 is not a singular critical point of the operator  $JP$ .*
- (b) *The point 0 is not a singular critical point of the operator  $JP^\nu$ .*

**PROOF** The point 0 is not a singular critical point of  $JP$  if and only if it is not a singular critical point of the operator  $PJ$  which is similar to  $JP$ . Further, 0 is not a singular critical point of  $PJ$  if and only if  $\infty$  is not a singular critical point of the operator  $JP^{-1}$ . It follows from [2, Theorem 3.9] that  $\infty$  is not a singular critical point of  $JP^{-1}$  if and only if  $\infty$  is not a singular critical point of  $JP^{-\nu}$ . Clearly,  $\infty$  is not a singular critical point of  $JP^{-\nu}$  if and only if 0 is not a singular critical point of  $P^\nu J$ . Because of the similarity of the operators, 0 is not a singular critical point of  $P^\nu J$  if and only if 0 is not a singular critical point of  $JP^\nu$ . This sequence of equivalent statements proves the lemma.  $\square$

It follows from [2, Lemma 1.8] that the operator  $JP^{-\nu}$  is definitizable for  $\nu = 2^m$  with  $m$  being a positive integer.

**LEMMA 1.2** *Let  $A$  and  $B$  be definitizable operators in the Krein space  $\mathcal{K}$  such that 0 is neither an eigenvalue of  $A$  nor of  $B$ . Assume that  $\mathcal{R}(A) = \mathcal{R}(B)$ . Then the following statements are equivalent.*

- (a) *The point 0 is not a singular critical point of  $A$ .*
- (b) *The point 0 is not a singular critical point of  $B$ .*

Let  $\mu$  be a measure on  $\mathbb{R}$ ,  $g$  and  $h$  nonnegative  $\mu$ -measurable functions on  $\mathbb{R}$ . Denote by  $M_g$  the operator of multiplication by  $g$  in  $L^2(\mathbb{R}, \mu)$ . We will repeatedly use the following result, which gives necessary and sufficient conditions for the equality of the domains and the ranges of  $M_g$  and  $M_h$ .

**LEMMA 1.3** *Let  $g$  and  $h$  be nonnegative measurable functions on  $\mathbb{R}$ .*

- (a) *The following statements are equivalent:*
  - (i)  $\mathcal{D}(M_g) = \mathcal{D}(M_h)$
  - (ii) *The functions  $\frac{h}{1+g}$  and  $\frac{g}{1+h}$  are essentially bounded.*
- (b) *The following statements are equivalent:*
  - (i)  $\mathcal{R}(M_g) = \mathcal{R}(M_h)$ .
  - (ii) *There exists a constant  $C \geq 0$  such that*

$$g \leq Ch(1+g) \text{ } \mu\text{-a.e. and } h \leq Cg(1+h) \text{ } \mu\text{-a.e. .} \quad (2)$$

**PROOF** The statement (a) is evident.

(b) For a  $\mu$ -measurable function  $f$  denote the set  $\{x \in \mathbb{R} | f(x) = 0\}$  by  $N_f$ . Note that each of the conditions (a) and (b) implies that  $N_g = N_h = N$ . Therefore  $\mathcal{N}(M_g) = \mathcal{N}(M_h)$  consists of functions  $f \in L^2(\mathbb{R}, \mu)$  with the support contained in  $N$ . Let

$$G(x) = H(x) = 0 \text{ } (x \in N) , G(x) = \frac{1}{g(x)}, H(x) = \frac{1}{h(x)} \text{ } (x \in \mathbb{R} \setminus N) .$$

It follows from (a) that the condition (ii) is equivalent to  $\mathcal{D}(M_G) = \mathcal{D}(M_H)$ . Since  $\mathcal{D}(M_G) = \mathcal{R}(M_g) \oplus \mathcal{N}(M_g)$ , we conclude that (i) and (ii) are equivalent.  $\square$

A polynomial  $p$  is *nonnegative* if  $p(x) \geq 0$  for all  $x \in \mathbb{R}$ .

**EXAMPLE 1** Let  $h$  be a nonnegative polynomial of degree  $2k$  in one variable. If  $g(t) = t^{2k}$ , then  $h$  and  $g$  satisfy the conditions of Lemma 1.3 (a).

**EXAMPLE 2** Let  $h$  be a nonnegative polynomial. Then  $h(t) = ag(t)\tilde{h}(t)$ , where  $a > 0$ ,  $\tilde{h}$  is a positive polynomial without real roots and  $g(t) = (t - r_1)^{2k_1} \cdots (t - r_m)^{2k_m}$ . Then  $h$  and  $g$  satisfy the condition (ii) of Lemma 1.3 (b).

**THEOREM 1.4** *Let  $S$  be a selfadjoint operator in the Hilbert space  $(\mathcal{K}, (\cdot | \cdot))$  such that  $JS^2$  is a definitizable operator in the Krein space  $(\mathcal{K}, [\cdot | \cdot])$ . Let  $\nu > 0$  and let  $h$  be a nonnegative continuous function. Assume that the operators  $J|S|^\nu$  and  $Jh(S)$  are definitizable.*

(a) *Assume that the functions  $g(t) = |t|^\nu$  and  $h$  satisfy the conditions of Lemma 1.3 (a). Then the following statements are equivalent.*

(i) *The point  $\infty$  is not a singular critical point of  $JS^2$ .*

(ii) *The point  $\infty$  is not a singular critical point of  $Jh(S)$ .*

(b) *Assume that 0 is not an eigenvalue of  $S$  and that the functions  $g(t) = |t|^\nu$  and  $h$  satisfy the condition (2). Then the following statements are equivalent.*

(i) *The point 0 is not a singular critical point of  $JS^2$ .*

(ii) *The point 0 is not a singular critical point of  $Jh(S)$ .*

**PROOF** We prove (b). The proof of (a) is similar. Lemma 1.1 implies that 0 is not a singular critical point of  $JS^2$  if and only if it is not a singular critical point of  $J|S|^\nu$ .

It follows from Lemma 1.3 (b) that for any Borel measure  $\mu$  the multiplication operators  $M_g$  and  $M_h$  in  $L^2(\mathbb{R}, \mu)$  have the same range. The Spectral Theorem, see [11, Theorem 7.18], implies  $\mathcal{R}(|S|^\nu) = \mathcal{R}(h(S))$ . Therefore,  $\mathcal{R}(J|S|^\nu) = \mathcal{R}(Jh(S))$ . The conclusion follows from Lemma 1.2.  $\square$

**COROLLARY 1.5** *Let  $S$  be a selfadjoint operator in the Hilbert space  $(\mathcal{K}, (\cdot | \cdot))$  such that 0 is not an eigenvalue of  $S$  and such that  $JS^2$  is a definitizable operator in the Krein space  $(\mathcal{K}, [\cdot | \cdot])$ . Let  $\eta$  and  $\nu$  be positive numbers and let  $h$  be a nonnegative continuous function. Let  $g_1(t) = |t|^\eta$  and  $g_2(t) = |t|^\nu$ . Assume that the functions  $g_1$  and  $h$  satisfy the conditions of Lemma 1.3 (a) and that the functions  $g_2$  and  $h$  satisfy the condition (2). Assume that the operators  $J|S|^\eta, J|S|^\nu$  and  $Jh(S)$  are definitizable. Then the following statements are equivalent.*

(i) *The operator  $JS^2$  is similar to a selfadjoint operator in  $(\mathcal{K}, (\cdot | \cdot))$ .*

(ii) *The operator  $Jh(S)$  is similar to a selfadjoint operator in  $(\mathcal{K}, (\cdot | \cdot))$ .*

## 2 Differential Operators with Constant Coefficients

In this section we apply the results from Section 1 to a class of positive ordinary differential operators with constant coefficients.

In the following, a root of multiplicity  $m$  of a polynomial is counted as  $m$  roots. Denote by  $\mathbb{C}_+$  (respectively  $\mathbb{C}_-$ ) the set of all complex numbers  $z$  such that  $\text{Im } z > 0$  (respectively  $\text{Im } z < 0$ ).

We consider an even order polynomial

$$p(z) = a_0 z^{2n} + a_1 z^{2n-1} + \cdots + a_{2n-1} z + a_{2n} . \quad (3)$$

with real coefficients  $a_j$ .

For the reader's convenience we give a proof of the following lemma.

**LEMMA 2.1** *Let  $p$  be a polynomial of degree  $2n$  with real coefficients. Let  $\alpha$  be a complex number.*

(a) *If  $\alpha$  is nonreal, then the polynomial equation*

$$p(z) - \alpha = 0 \quad (4)$$

*has exactly  $n$  solutions in  $\mathbb{C}_+$  and exactly  $n$  solutions in  $\mathbb{C}_-$ .*

(b) *If  $\alpha$  is real, then the equation (4) has at most  $n$  solutions in  $\mathbb{C}_+$ .*

**PROOF** (a) Let  $n_+(\alpha)$  be the number of solutions of (4) in  $\mathbb{C}_+$ . Since (4) has no real solutions, it follows that  $n_+(\alpha)$  is constant for  $\alpha \in \mathbb{C}_+$ . Note that the equation  $a_0 z^{2n} = \alpha$  has exactly  $n$  solutions with positive imaginary parts, an application of Rouché's theorem shows that  $n_+(\alpha) = n$  for  $|\alpha|$  sufficiently large.

The claim (b) is evident. □

Denote  $D = -i \frac{d}{dx}$ . We consider the spectral problem

$$p(D)f(x) = \lambda(\text{sgn } x)f(x), \quad x \in \mathbb{R}, \quad (5)$$

For a polynomial  $q$  of degree  $k$ ,  $q(D)$  denotes the constant coefficient differential operator in the Hilbert space  $L^2(\mathbb{R})$  defined on the Sobolev space  $H^k(\mathbb{R})$ .

Let  $J$  be the multiplication operator defined by

$$(Jf)(x) = (\text{sgn } x)f(x), \quad x \in \mathbb{R} .$$

Then the problem (5) can be written in terms of operators as

$$p(D)f = \lambda Jf, \quad f \in H^{2n}(\mathbb{R}), \quad (6)$$

or, equivalently,

$$Jp(D)f = \lambda f, \quad f \in H^{2n}(\mathbb{R}). \quad (7)$$

It is natural to study the problem (7) in the Krein space  $\mathcal{K} = L^2(\mathbb{R})$  with the scalar product  $[f, g] = \int_{\mathbb{R}} f(x)\overline{g(x)}\operatorname{sgn} x dx$ . The multiplication operator  $J$  is a fundamental symmetry on  $\mathcal{K}$  and the corresponding positive definite scalar product is the standard scalar product in  $L^2(\mathbb{R})$ .

Since  $p$  has real coefficients the operator  $p(D)$  is selfadjoint in the Hilbert space  $L^2(\mathbb{R})$ . Therefore, the operator  $Jp(D)$  is selfadjoint in the Krein space  $\mathcal{K}$ . A selfadjoint operator in a Krein space may have empty resolvent set. In the next theorem we show that this is not the case for the operator  $Jp(D)$ .

**THEOREM 2.2** *Let  $p$  be an even order polynomial with real coefficients. Let  $A = Jp(D)$ .*

- (a) *The spectrum of the operator  $A$  is real.*
- (b) *The operator  $A$  has no eigenvalues. Its residual spectrum is empty.*
- (c) *The continuous spectrum of  $A$  is given by*

$$\sigma_c(A) = (-\infty, -m_p] \cup [m_p, +\infty), \quad \text{where } m_p = \min\{p(x) : x \in \mathbb{R}\}. \quad (8)$$

**PROOF** (a) Let  $\zeta$  be an arbitrary nonreal complex number. We have to prove that the operator  $A - \zeta I$  has a bounded inverse. Since the operators  $J$  and  $p(D)$  are closed, it is sufficient to prove that  $p(D) - \zeta J$  is a bijection of  $H^{2n}(\mathbb{R})$  onto  $L^2(\mathbb{R})$ . Let  $g \in L^2(\mathbb{R})$ . The special restriction of  $p(D)$  defined in  $L^2(\mathbb{R}_{\mp})$  with the domain consisting of all functions  $f$  in  $H^{2n}(\mathbb{R}_{\mp})$  such that  $f^{(j)}(0) = 0$ ,  $j = 0, \dots, n-1$ , is selfadjoint in the Hilbert space  $L^2(\mathbb{R}_{\mp})$ . Therefore, the boundary value problems

$$\begin{aligned} (p(D)y)(x) \pm \zeta y(x) &= g(x), \quad x \in \mathbb{R}_{\mp}, \quad y \in H^{2n}(\mathbb{R}_{\mp}) \\ y^{(j)}(0) &= 0, \quad j = 0, \dots, n-1 \end{aligned}$$

have unique solutions  $y_{\mp}$  in  $H^{2n}(\mathbb{R}_{\mp})$ .

Now consider the homogeneous equation

$$p(D)y - \zeta y = 0, \quad y \in H^{2n}(\mathbb{R}_+). \quad (9)$$

In order to find the fundamental set of solutions of (9) we have to solve the polynomial equation  $p(-iz) - \zeta = 0$ . Since  $\zeta$  is nonreal, we can apply Lemma 2.1 (a) and conclude that this equation has  $n$  roots  $z_j^+$ ,  $j = 1, \dots, n$ , with negative real parts. These roots in the standard way lead to  $n$  linearly independent solutions  $\psi_j^+$ ,  $j = 1, \dots, n$  of (9) which are in  $H^{2n}(\mathbb{R}_+)$ .

To find the fundamental set of solutions of the homogeneous equation

$$p(D)y + \zeta y = 0, \quad y \in H^{2n}(\mathbb{R}_-). \quad (10)$$

we have to find the roots of  $p(-iz) + \zeta = 0$  with positive real parts. By Lemma 2.1 (a) there are  $n$  such roots; denote them by  $z_j^-, j = 1, \dots, n$ . These roots in the standard way lead to  $n$  linearly independent solutions  $\psi_j^-, j = 1, \dots, n$  of (10) which are in  $H^{2n}(\mathbb{R}_-)$ . Since the set  $\{z_j^+, j = 1, \dots, n\}$  is disjoint from the set  $\{z_j^-, j = 1, \dots, n\}$ , the set  $\{\psi_j^+, \psi_j^-, j = 1, \dots, n\}$  is linearly independent and moreover it is a basis of solutions of the homogeneous equation  $q(D)y = 0$ , where  $q(t) = \prod_{j=1}^n (t + iz_j^+)(t + iz_j^-)$ . Therefore the Wronskian of  $\{\psi_j^+, \psi_j^-, j = 1, \dots, n\}$  does not have zeros.

Every solution  $f \in H^{2n}(\mathbb{R})$  of the equation

$$p(D)f - \zeta Jf = g \quad (11)$$

must satisfy

$$f(x) = \begin{cases} y_-(x) + \sum_{j=1}^n c_j^- \psi_j^-(x), & x \in \mathbb{R}_- \\ y_+(x) + \sum_{j=1}^n c_j^+ \psi_j^+(x), & x \in \mathbb{R}_+ \end{cases}$$

for some complex numbers  $c_j^-, c_j^+, j = 1, \dots, n$ . The continuity of  $f^{(j)}, j = 0, 1, \dots, 2n - 1$  at 0 leads to a system of  $2n$  linear equations in  $c_j^-, c_j^+, j = 1, \dots, n$ . The determinant of this system is the Wronskian of the functions  $\psi_j^+, \psi_j^-, j = 1, \dots, n$  evaluated at 0. Since this determinant is not 0, the system has unique solution. Therefore, the equation (11) has a unique solution, i.e.,  $p(D) - \zeta J$  is bijection of  $H^{2n}(\mathbb{R})$  onto  $L^2(\mathbb{R})$ . Consequently,  $\zeta$  is in the resolvent set of  $A$ .

(b) Let  $\zeta \in \mathbb{R}$  and let  $y \in H^{2n}(\mathbb{R})$  be a solution of the equation

$$p(D)y - \zeta Jy = 0 .$$

The restriction  $y_+$  ( $y_-$ , resp.) of  $y$  to  $\mathbb{R}_+$  ( $\mathbb{R}_-$ , resp.) satisfies the equation (9) ((10), respectively). Applying Lemma 2.1 (b) and arguing as in the proof of (a), we conclude that the equation (9)((10), respectively), has  $k_+ \leq n$  ( $k_- \leq n$ , resp.) linearly independent solutions  $\psi_j^+, j = 1, \dots, k_+$  ( $\psi_j^-, j = 1, \dots, k_-$ , resp.). Moreover, the Wronskian of

$$\{\psi_1^+, \dots, \psi_{k_+}^+, \psi_1^-, \dots, \psi_{k_-}^-\}$$

is nowhere 0. Since  $y_+$  ( $y_-$ , resp.) is a linear combination of  $\psi_j^+, j = 1, \dots, k_+$  ( $\psi_j^-, j = 1, \dots, k_-$ , respectively) the continuity of  $y^{(m)}$  for  $m = 0, 1, \dots, k_+ + k_- - 1$  at 0 implies  $y_+ = 0$  and  $y_- = 0$ . Hence  $y = 0$ . Since  $A$  is selfadjoint in  $\mathcal{K}$  it cannot have real numbers in residual spectrum.

(c) We use I. M. Glazman's decomposition method. Define  $A_\pm$  in  $L^2(\mathbb{R}_\pm)$  by  $\mathcal{D}(A_\pm) = H^{2n}(\mathbb{R}_\pm) \cap H_0^n(\mathbb{R}_\pm)$  and  $A_\pm y = \pm p(D)y, y \in \mathcal{D}(A_\pm)$ . The operator  $A_-$  ( $A_+$ , respectively) is a selfadjoint operator in  $L^2(\mathbb{R}_-)$  ( $L^2(\mathbb{R}_+)$ , resp.). The continuous spectrum of  $A_-$  ( $A_+$ ,

respectively) is  $(-\infty, -m_p]$  ( $[m_p, +\infty)$ , resp.). The operator  $A_- \oplus A_+$  is selfadjoint in  $L^2(\mathbb{R})$  and its continuous spectrum is the union of the continuous spectra of  $A_-$  and  $A_+$ . The operators  $A$  and  $A_- \oplus A_+$  have the same continuous spectrum. Therefore, by (b),  $\sigma(A) = \sigma_c(A) = \sigma_c(A_-) \cup \sigma_c(A_+)$ .  $\square$

**THEOREM 2.3** *Let  $p$  be a nonnegative polynomial. Let  $A = Jp(D)$ .*

- (a) *The operator  $A$  is a positive definitizable operator.*
- (b) *The point  $\infty$  is a regular critical point of  $A$ .*
- (c) *The point  $0$  is a critical point of  $A$  if and only if  $0 \in \sigma(A)$ , or equivalently, if and only if  $m_p = \min\{p(x)|x \in \mathbb{R}\} = 0$ .*

**PROOF** (a) The definitizability of the positive operator  $A$  follows from Theorem 2.2.

The positivity of  $A$  and the equality (8) imply the statement (c) and the fact that  $\infty$  is a critical point of  $Jp(D)$ .

Since the operators  $A = Jp(D)$  and  $JD^{2n}$  are definitizable the operator  $D$  satisfies all the assumptions for  $S$  in Theorem 1.4 (a). By [5],  $\infty$  is not a singular critical point of  $JD^2$ . By Example 1 the functions  $h = p$  and  $g(t) = t^{2n}$  satisfy the conditions of Lemma 1.3 (a). Therefore we can apply Theorem 1.4 (a) to conclude that  $\infty$  is not a singular critical point of  $A$ .  $\square$

It follows from Theorem 2.3 that  $A$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$  if  $m_p > 0$ . The same is true if  $m_p = 0$  and  $0$  is a regular critical point of  $A$ . In the next theorem we give a sufficient condition for  $p$  under which  $0$  is a regular critical point of  $A$ .

Let  $a$  be an arbitrary real number. Denote by  $V(a)$  the multiplication operator on  $L^2(\mathbb{R})$  defined by  $(V(a)f)(x) = e^{iax}f(x)$ ,  $x \in \mathbb{R}$ . Simple calculations show that the following proposition holds.

**PROPOSITION 2.4** *The operators  $JD^{2n}$  and  $J(D + aI)^{2n}$  are similar:*

$$V(a)^{-1}JD^{2n}V(a) = J(D + aI)^{2n}.$$

**THEOREM 2.5** *Let  $p$  be a nonnegative polynomial with exactly one real root. Then  $0$  is a regular critical point of  $A = Jp(D)$ . The operator  $A$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$ .*

**PROOF** Let  $a$  be the single real root of  $p$ . By Proposition 2.4 the operators  $JD^2$  and  $J(D - aI)^2$  are similar. Therefore the operator  $J(D - aI)^2$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$ . Put  $S = D - aI$  and  $q(x) = p(x + a)$ .

Then  $q$  satisfies all the assumptions for  $h$  in Corollary 1.5 and  $Jq(S) = Jp(D)$ . Since  $JS^2$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$ , Corollary 1.5 implies that  $Jq(S) = Jp(D)$  is similar to a selfadjoint operator in  $L^2(\mathbb{R})$ .  $\square$



### 3 Half-range Completeness

Let  $A$  be a positive operator in the Krein space  $\mathcal{K} = (L^2(\mathbb{R}), [\cdot | \cdot])$ . Assume that  $A$  has a nonempty resolvent set. Let  $\mathcal{K}_\pm$  be the set of all functions  $f$  in  $L^2(\mathbb{R})$  which vanish on the set  $\mathbb{R}_\mp$ . Then  $\mathcal{K} = \mathcal{K}_+ \oplus \mathcal{K}_-$  is a fundamental decomposition of  $\mathcal{K}$ .

Assume that neither  $0$  nor  $\infty$  are singular critical points of  $A$ . Let  $E$  be the spectral function of  $A$ . Then the operator  $A$  is a selfadjoint operator in the Hilbert space  $(\mathcal{K}, [(E(\mathbb{R}_+) - E(\mathbb{R}_-)) \cdot, \cdot])$ ; see [9, Theorem 5.7]. The corresponding fundamental decomposition is  $\mathcal{K} = \mathcal{L}_+ \oplus \mathcal{L}_-$ , where  $\mathcal{L}_\pm = E(\mathbb{R}_\pm)\mathcal{K}$ . This fundamental decomposition reduces  $A$ . Let  $P_\pm$  be the orthogonal projection in  $\mathcal{K}$  to  $\mathcal{K}_\pm$ . Then the restriction

$$T_\pm := P_\pm|_{\mathcal{L}_\pm} : \mathcal{L}_\pm \longrightarrow \mathcal{K}_\pm$$

is a bounded and boundedly invertible bijection of  $\mathcal{L}_\pm$  onto  $\mathcal{K}_\pm$ . Let  $f_\pm \in \mathcal{K}_\pm$ . Then  $T_\pm^{-1}f_\pm \in \mathcal{L}_\pm$ . Therefore

$$T_\pm^{-1}f_\pm = \int_{\mathbb{R}_\pm} dE(t)T_\pm^{-1}f_\pm .$$

Since  $P_\pm$  is continuous we get

$$f_\pm = \int_{\mathbb{R}_\pm} dP_\pm E(t)T_\pm^{-1}f_\pm = \int_{\mathbb{R}_\pm} dF_\pm(t)f_\pm ,$$

where  $F_\pm(\iota) = P_\pm E(\iota)T_\pm^{-1}$ , for  $\iota$  an open interval in  $\mathbb{R}_\pm$ . Then  $F_\pm$  is a projection valued measure on  $\mathbb{R}_\pm$ .

We have proved that the elements  $f_\pm$  from  $\mathcal{K}_\pm$  can be represented as integrals over  $\mathbb{R}_\pm$  with respect to the measure  $F_\pm(\cdot)f_\pm$  which is obtained by orthogonally projecting the spectral measure  $E(\cdot)T_\pm^{-1}f_\pm$  onto  $\mathcal{K}_\pm$ . This is exactly the continuous analogue of the familiar concept of half-range completeness property in the discrete spectrum case; see [1].

This property holds in particular for the operators from Theorem 2.5.

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