# SERRE FINITENESS AND SERRE VANISHING FOR NON-COMMUTATIVE $\mathbb{P}^1$ -BUNDLES

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ABSTRACT. Suppose X is a smooth projective scheme of finite type over a field K,  $\mathcal E$  is a locally free  $\mathcal O_X$ -bimodule of rank 2,  $\mathcal A$  is the non-commutative symmetric algebra generated by  $\mathcal E$  and  $\operatorname{Proj}\mathcal A$  is the corresponding non-commutative  $\mathbb P^1$ -bundle. We use the properties of the internal Hom functor  $\operatorname{\underline{\mathcal Hom}}_{\operatorname{Gr}\mathcal A}(-,-)$  to prove versions of Serre finiteness and Serre vanishing for  $\operatorname{Proj}\mathcal A$ . As a corollary to Serre finiteness, we prove that  $\operatorname{Proj}\mathcal A$  is  $\operatorname{Ext-finite}$ . This fact is used in [2] to prove that if X is a smooth curve over  $\operatorname{Spec} K$ ,  $\operatorname{Proj}\mathcal A$  has a Riemann-Roch theorem and an adjunction formula.

 $\it Keywords$ : non-commutative geometry, Serre finiteness, non-commutative projective bundle.

### 1. Introduction

Non-commutative  $\mathbb{P}^1$ -bundles over curves play a prominent role in the theory of non-commutative surfaces. For example, certain non-commutative quadrics are isomorphic to non-commutative  $\mathbb{P}^1$ -bundles over curves [9]. In addition, every non-commutative deformation of a Hirzebruch surface is given by a non-commutative  $\mathbb{P}^1$ -bundle over  $\mathbb{P}^1$  [8, Theorem 7.4.1, p. 29].

The purpose of this paper is to prove versions of Serre finiteness and Serre vanishing (Theorem 3.5 (1) and (2), respectively) for non-commutative  $\mathbb{P}^1$ -bundles over smooth projective schemes of finite type over a field K. As a corollary to the first of these results, we prove that such non-commutative  $\mathbb{P}^1$ -bundles are Extfinite. This fact is used to prove that non-commutative  $\mathbb{P}^1$ -bundles over smooth curves have a Riemann-Roch theorem and an adjunction formula [2].

We now review some important notions from non-commutative algebraic geometry in order to recall the definition of non-commutative  $\mathbb{P}^1$ -bundle. We conclude the introduction by relating the results of this paper to Mori's intersection theory.

If X is a quasi-compact and quasi-separated scheme, then  $\mathsf{Mod} X$ , the category of quasi-coherent sheaves on X, is a Grothendieck category. This leads to the following generalization of the notion of scheme, introduced by Van den Bergh in order to define a notion of blowing-up in the non-commutative setting.

Definition 1.1. [7] A quasi-scheme is a Grothendieck category  $\mathsf{Mod}X$ , which we denote by X. X is called a **noetherian** quasi-scheme if the category  $\mathsf{Mod}X$  is locally noetherian. X is called a **quasi-scheme over K** if the category  $\mathsf{Mod}X$  is K-linear

If R is a ring and  $\mathsf{Mod} R$  is the category of right R-modules,  $\mathsf{Mod} R$  is a quasi-scheme, called the non-commutative affine scheme associated to R. If A is a graded

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ring,  $\operatorname{Gr}A$  is the category of graded right A-modules,  $\operatorname{Tors}A$  is the full subcategory of  $\operatorname{Gr}A$  consisting of direct limits of right bounded modules, and  $\operatorname{Proj}A$  is the quotient category  $\operatorname{Gr}A/\operatorname{Tors}A$ , then  $\operatorname{Proj}A$  is a quasi-scheme called the non-commutative projective scheme associated to A. If A is an Artin-Schelter regular algebra of dimension 3 with the same hilbert series as a polynomial ring in 3 variables,  $\operatorname{Proj}A$  is called a non-commutative  $\mathbb{P}^2$ .

The notion of non-commutative  $\mathbb{P}^1$ -bundle over a smooth scheme X generalizes that of commutative  $\mathbb{P}^1$ -bundle over X. In order to recall the definition of non-commutative  $\mathbb{P}^1$ -bundle, we review some preliminary notions. Let S be a scheme of finite type over Spec K and let X be an S-scheme. For i=1,2, let  $\operatorname{pr}_i:X\times_S X\to X$  denote the standard projections, let  $\delta:X\to X\times_S X$  denote the diagonal morphism, and let  $\Delta$  denote the image of  $\delta$ .

Definition 1.2. A coherent  $\mathcal{O}_X$ -bimodule,  $\mathcal{E}$ , is a coherent  $\mathcal{O}_{X \times_S X}$ -module such that  $\operatorname{pr}_{i|\operatorname{Supp}\mathcal{E}}$  is finite for i=1,2. A coherent  $\mathcal{O}_X$ -bimodule  $\mathcal{E}$  is locally free of rank n if  $\operatorname{pr}_{i*}\mathcal{E}$  is locally free of rank n for i=1,2.

Now assume X is smooth. If  $\mathcal{E}$  is a locally free  $\mathcal{O}_X$ -bimodule, then let  $\mathcal{E}^*$  denote the dual of  $\mathcal{E}$  [8, p. 6], and let  $\mathcal{E}^{j*}$  denote the dual of  $\mathcal{E}^{j-1*}$ . Finally, let  $\eta: \mathcal{O}_{\Delta} \to \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{E}^*$  denote the counit from  $\mathcal{O}_{\Delta}$  to the bimodule tensor product of  $\mathcal{E}$  and  $\mathcal{E}^*$  [8, p. 7].

Definition 1.3. [8, Section 4.1] Let  $\mathcal{E}$  be a locally free  $\mathcal{O}_X$ -bimodule. The **non-commutative symmetric algebra generated by**  $\mathcal{E}$ ,  $\mathcal{A}$ , is the sheaf- $\mathbb{Z}$ -algebra generated by the  $\mathcal{E}^{j*}$  subject to the relations  $\eta(\mathcal{O}_{\Delta})$ .

A more explicit definition of non-commutative symmetric algebra is given in Section 2. We now recall the definition of non-commutative  $\mathbb{P}^1$ -bundle.

Definition 1.4. [8] Suppose X is a smooth scheme of finite type over K,  $\mathcal{E}$  is a locally free  $\mathcal{O}_X$ -bimodule of rank 2 and  $\mathcal{A}$  is the non-commutative symmetric algebra generated by  $\mathcal{E}$ . Let  $\mathsf{Gr}\mathcal{A}$  denote the category of graded right  $\mathcal{A}$ -modules, let  $\mathsf{Tors}\mathcal{A}$  denote the full subcategory of  $\mathsf{Gr}\mathcal{A}$  consisting of direct limits of right-bounded modules, and let  $\mathsf{Proj}\mathcal{A}$  denote the quotient of  $\mathsf{Gr}\mathcal{A}$  by  $\mathsf{Tors}\mathcal{A}$ . The category  $\mathsf{Proj}\mathcal{A}$  is a non-commutative  $\mathbb{P}^1$ -bundle over X.

This notion generalizes that of a commutative  $\mathbb{P}^1$ -bundle over X as follows. Let  $\mathcal{E}$  be an  $\mathcal{O}_X$ -bimodule on which  $\mathcal{O}_X$  acts centrally. Then  $\mathcal{E}$  can be identified with the direct image  $\operatorname{pr}_{i*}\mathcal{E}$  for i=1,2. If, furthermore,  $\mathcal{E}$  is locally free of rank 2 and  $\mathcal{A}$  is the non-commutative symmetric algebra generated by  $\mathcal{E}$ , Van den Bergh proves [8, Lemma 4.2.1] that the category  $\operatorname{Proj}\mathcal{A}$  is equivalent to the category  $\operatorname{Mod}\mathbb{P}_X(\operatorname{pr}_{i*}\mathcal{E})$ , where  $\mathbb{P}_X(-)$  is the usual (commutative) projectivization.

One of the major problems in non-commutative algebraic geometry is to classify non-commutative surfaces. Since intersection theory on commutative surfaces facilitates the classification of commutative surfaces, one expects intersection theory to be an important tool in non-commutative algebraic geometry. Mori shows [2, Theorem 3.11] that if Y is a noetherian quasi-scheme over a field K such that

- (1) Y is Ext-finite,
- (2) the cohomological dimension of Y is 2, and
- (3) Y satisfies Serre duality

then versions of the Riemann-Roch theorem and the adjunction formula hold for Y. Let X be a smooth curve over Spec K. In [5], we prove that a non-commutative

 $\mathbb{P}^1$ -bundle over X satisfies (2) and (3) above (see Section 4 for a precise statement of these results). In this paper we prove that a non-commutative  $\mathbb{P}^1$ -bundle over a projective scheme of finite type satisfies (1) (Corollary 3.6). We conclude the paper by stating the versions of the Riemann-Roch theorem and the adjunction formula which hold for non-commutative  $\mathbb{P}^1$ -bundles.

In what follows, K is a field, X is a smooth, projective scheme of finite type over Spec K, ModX denotes the category of quasi-coherent  $\mathcal{O}_X$ -modules, and we abuse notation by calling objects in this category  $\mathcal{O}_X$ -modules.

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### 2. Preliminaries

Before we prove Serre finiteness and Serre vanishing, we review the definition of non-commutative symmetric algebra and the definition and basic properties of the internal Hom functor  $\underline{\mathcal{H}om}_{\mathsf{Gr}A}(-,-)$  on  $\mathsf{Gr}A$ .

Definition 2.1. Let  $\mathcal{E}$  be a locally free  $\mathcal{O}_X$ -bimodule. The non-commutative symmetric algebra generated by  $\mathcal{E}$  is the sheaf- $\mathbb{Z}$ -algebra  $\mathcal{A} = \bigoplus_{i,j \in \mathbb{Z}} \mathcal{A}_{ij}$  with components

- $A_{ii} = \mathcal{O}_{\Delta}$
- $\mathcal{A}_{i,i+1} = \mathcal{E}^{i*}$
- $\mathcal{A}_{ij} = \mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{j-1,j}/\mathcal{R}_{ij}$  for j > i+1, where  $\mathcal{R}_{ij} \subset \mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{j-1,j}/\mathcal{R}_{ij}$  $\mathcal{A}_{i-1,j}$  is the  $\mathcal{O}_X$ -bimodule

$$\sum_{k=i}^{j-2} \mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{k-1,k} \otimes \mathcal{Q}_k \otimes \mathcal{A}_{k+2,k+3} \otimes \cdots \otimes \mathcal{A}_{j-1,j}$$

and  $Q_i$  is the image of the unit map  $\mathcal{O}_{\Delta} \to \mathcal{A}_{i,i+1} \otimes \mathcal{A}_{i+1,i+2}$ , and

•  $A_{ij} = 0$  if i > j

and with multiplication,  $\mu$ , defined as follows: for i < j < k,

$$\mathcal{A}_{ij} \otimes \mathcal{A}_{jk} = \frac{\mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{j-1,j}}{\mathcal{R}_{ij}} \otimes \frac{\mathcal{A}_{j,j+1} \otimes \cdots \otimes \mathcal{A}_{k-1,k}}{\mathcal{R}_{jk}}$$

$$\cong \frac{\mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{k-1,k}}{\mathcal{R}_{ij} \otimes \mathcal{A}_{j,j+1} \otimes \cdots \otimes \mathcal{A}_{k-1,k} + \mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{j-1,j} \otimes \mathcal{R}_{jk}}$$

by [4, Corollary 3.18]. On the other hand,

$$\mathcal{R}_{ik} \cong \mathcal{R}_{ij} \otimes \mathcal{A}_{j,j+1} \otimes \cdots \otimes \mathcal{A}_{k-1,k} + \mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{j-1,j} \otimes \mathcal{R}_{jk} + \mathcal{A}_{i,i+1} \otimes \cdots \otimes \mathcal{A}_{j-2,j-1} \otimes \mathcal{Q}_{j-1} \otimes \mathcal{A}_{j+1,j+2} \otimes \cdots \otimes \mathcal{A}_{k-1,k}.$$

Thus there is an epi  $\mu_{ijk}: \mathcal{A}_{ij} \otimes \mathcal{A}_{jk} \to \mathcal{A}_{ik}$ .

If i = j, let  $\mu_{ijk} : \mathcal{A}_{ii} \otimes \mathcal{A}_{ik} \to \mathcal{A}_{ik}$  be the scalar multiplication map  $\mathcal{O}\mu$ :  $\mathcal{O}_{\Delta} \otimes \mathcal{A}_{ik} \to \mathcal{A}_{ik}$ . Similarly, if j = k, let  $\mu_{ijk} : \mathcal{A}_{ij} \otimes \mathcal{A}_{jj} \to \mathcal{A}_{ij}$  be the scalar multiplication map  $\mu_{\mathcal{O}}$ . Using the fact that the tensor product of bimodules is associative, one can check that multiplication is associative.

Definition 2.2. Let Bimod A - A denote the category of A - A-bimodules. Specifically:

• an object of  $\mathsf{Bimod}\mathcal{A} - \mathcal{A}$  is a triple

$$(\mathcal{C} = \{C_{ij}\}_{i,j\in\mathbb{Z}}, \{\mu_{ijk}\}_{i,j,k\in\mathbb{Z}}, \{\psi_{ijk}\}_{i,j,k\in\mathbb{Z}})$$

where  $C_{ij}$  is an  $\mathcal{O}_X$ -bimodule and  $\mu_{ijk}: C_{ij} \otimes \mathcal{A}_{jk} \to C_{ik}$  and  $\psi_{ijk}: \mathcal{A}_{ij} \otimes \mathcal{A}_{ijk}$  $\mathcal{C}_{jk} \to \mathcal{C}_{ik}$  are morphisms of  $\mathcal{O}_{X^2}$ -modules making  $\mathcal{C}$  an  $\mathcal{A}$ - $\mathcal{A}$  bimodule.

• A morphism  $\phi: \mathcal{C} \to \mathcal{D}$  between objects in  $\mathsf{Bimod}\mathcal{A} - \mathcal{A}$  is a collection  $\phi = {\{\phi_{ij}\}_{i,j\in\mathbb{Z}}}$  such that  $\phi_{ij}: \mathcal{C}_{ij} \to \mathcal{D}_{ij}$  is a morphism of  $\mathcal{O}_{X^2}$ -modules, and such that  $\phi$  respects the  $\mathcal{A} - \mathcal{A}$ -bimodule structure on  $\mathcal{C}$  and  $\mathcal{D}$ .

Let  $\mathbb{B}$  denote the full subcategory of  $\mathsf{Bimod}\mathcal{A} - \mathcal{A}$  whose objects  $\mathcal{C} = \{C_{ij}\}_{i,j\in\mathbb{Z}}$ have the property that  $C_{ij}$  is coherent and locally free for all  $i, j \in \mathbb{Z}$ .

Let  $\mathbb{G}r\mathcal{A}$  denote the full subcategory of  $\mathbb{B}$  consisting of objects  $\mathcal{C}$  such that for some  $n \in \mathbb{Z}$ ,  $C_{ij} = 0$  for  $i \neq n$  (we say C is left-concentrated in degree n).

Definition 2.3. [5, Definition 3.7] Let  $\mathcal{C}$  be an object in  $\mathbb{B}$  and let  $\mathcal{M}$  be a graded right A-module. We define  $\underline{\mathcal{H}om}_{\mathsf{Gr}\mathcal{A}}(\mathcal{C},\mathcal{M})$  to be the  $\mathbb{Z}$ -graded  $\mathcal{O}_X$ -module whose kth component is the equalizer of the diagram

(1) 
$$\begin{array}{ccc}
\prod_{i} \mathcal{M}_{i} \otimes \mathcal{C}_{ki}^{*} & \xrightarrow{\alpha} & \prod_{j} \mathcal{M}_{j} \otimes \mathcal{C}_{kj}^{*} \\
\downarrow^{\gamma} & & \downarrow^{\gamma} \\
\prod_{j} (\prod_{i} (\mathcal{M}_{j} \otimes \mathcal{A}_{ij}^{*}) \otimes \mathcal{C}_{ki}^{*}) & \xrightarrow{\delta} & \prod_{j} (\prod_{i} \mathcal{M}_{j} \otimes (\mathcal{C}_{ki} \otimes \mathcal{A}_{ij})^{*})
\end{array}$$

where  $\alpha$  is the identity map,  $\beta$  is induced by the composition

$$\mathcal{M}_i \xrightarrow{\eta} \mathcal{M}_i \otimes \mathcal{A}_{ij} \otimes \mathcal{A}_{ij}^* \xrightarrow{\mu} \mathcal{M}_j \otimes \mathcal{A}_{ij}^*$$

 $\gamma$  is induced by the dual of

$$C_{ki} \otimes A_{ij} \stackrel{\mu}{\rightarrow} C_{kj}$$
,

and  $\delta$  is induced by the composition

$$(\mathcal{M}_j \otimes \mathcal{A}_{ij}^*) \otimes \mathcal{C}_{ij}^* \to \mathcal{M}_j \otimes (\mathcal{A}_{ij}^* \otimes \mathcal{C}_{ki}^*) \to \mathcal{M}_j \otimes (\mathcal{C}_{ki} \otimes \mathcal{A}_{ij})^*$$

whose left arrow is the associativity isomorphism and whose right arrow is induced by the canonical map [5, Section 2.1]. If  $\mathcal{C}$  is an object of  $\mathbb{G}r\mathcal{A}$  left-concentrated in degree k, we define  $\mathcal{H}om_{\mathsf{Gr}\mathcal{A}}(\mathcal{C},\mathcal{M})$  to be the equalizer of (1).

Let  $\tau: \mathsf{Gr}\mathcal{A} \to \mathsf{Tors}\mathcal{A}$  denote the torsion functor, let  $\pi: \mathsf{Gr}\mathcal{A} \to \mathsf{Proj}\mathcal{A}$  denote the quotient functor, and let  $\omega: \operatorname{Proj} A \to \operatorname{Gr} A$  denote the right adjoint to  $\pi$ . For any  $k \in \mathbb{Z}$ , let  $e_k \mathcal{A}$  denote the right- $\mathcal{A}$ -module  $\bigoplus_{l \geq k} \mathcal{A}_{kl}$ . We define  $e_k \mathcal{A}_{\geq k+n}$  to be the sum  $\bigoplus_{i \geq 0} e_k \mathcal{A}_{k+n+i}$  and we let  $\mathcal{A}_{\geq n} = \bigoplus_k e_k \mathcal{A}_{\geq k+n}$ .

the sum 
$$\bigoplus_{i>0} e_k \mathcal{A}_{k+n+i}$$
 and we let  $\mathcal{A}_{\geq n} = \bigoplus_k e_k \mathcal{A}_{\geq k+n}$ .

**Theorem 2.4.** If  $\mathcal{M}$  is an object in  $Gr\mathcal{A}$  and  $\mathcal{C}$  is an object in  $\mathbb{B}$ ,  $\mathcal{H}om_{Gr\mathcal{A}}(\mathcal{C},\mathcal{M})$ inherits a graded right A-module structure from the left A-module structure of C, making  $\underline{\mathcal{H}om}_{\mathsf{Gr}\mathcal{A}}(-,-): \mathbb{B}^{op} \times \mathsf{Gr}\mathcal{A} \to \mathsf{Gr}\mathcal{A} \ a \ bifunctor.$ 

Furthermore

- (1)  $\tau(-) \cong \lim_{n \to \infty} \underbrace{\mathcal{H}om_{\mathsf{Gr}\mathcal{A}}(\mathcal{A}/\mathcal{A}_{\geq n}, -)}_{\mathsf{Gr}\mathcal{A}},$ (2) If  $\mathcal{F}$  is a coherent, locally free  $\mathcal{O}_X$ -bimodule,

$$\mathcal{H}om_{\mathsf{Gr}\mathcal{A}}(\mathcal{F}\otimes e_k\mathcal{A},-)\cong (-)_k\otimes \mathcal{F}^*$$

and

$$\operatorname{Hom}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{H}om_{\operatorname{Gr}\mathcal{A}}(e_k\mathcal{A}, \mathcal{M})) \cong \operatorname{Hom}_{\operatorname{Gr}\mathcal{A}}(\mathcal{L} \otimes e_k\mathcal{A}, \mathcal{M}).$$

*Proof.* The first statement is [5, Proposition 3.11], (1) is [5, Proposition 3.19], (2) is [5, Theorem 3.16(4)] and (3) is a consequence of [5, Proposition 3.10]

By Theorem 2.4 (2),  $\mathcal{H}om_{\mathsf{Gr}\mathcal{A}}(-,\mathcal{M})$  is  $\mathcal{F}\otimes e_k\mathcal{A}$ -acyclic when  $\mathcal{F}$  is a coherent, locally free  $\mathcal{O}_X$ -bimodule. Thus, one may use the resolution [8, Theorem 7.1.2] to compute the derived functors of  $\mathcal{H}om_{\mathsf{Gr}\mathcal{A}}(\mathcal{A}/\mathcal{A}_{\geq 1}, -)$ . By Theorem 2.4(1), we may thus compute the derived functors of  $\tau$ :

**Theorem 2.5.** The cohomological dimension of  $\tau$  is 2. For i < 2 and  $\mathcal{L}$  a coherent, locally free  $\mathcal{O}_X$ -module,

$$R^i \tau(\mathcal{L} \otimes e_k \mathcal{A}) = 0$$

and

$$(\mathbf{R}^2 \, \tau(\mathcal{L} \otimes e_l \mathcal{A}))_{l-2-i} \cong \begin{cases} \mathcal{L} \otimes \mathcal{A}^*_{l-2-i,l-2} & \text{if } i \geq 0, \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* The first result is [5, Corollary 4.10], while the remainder is [5, Lemma 4.9].

# 3. Serre finiteness and Serre vanishing

In this section let I denote a finite subset of  $\mathbb{Z} \times \mathbb{Z}$ . The proof of the following lemma is straightforward, so we omit it.

**Lemma 3.1.** If  $\mathcal{M}$  is a noetherian object in  $Gr\mathcal{A}$ ,  $\pi\mathcal{M}$  is a noetherian object in  $Proj\mathcal{A}$  and  $\mathcal{M}$  is locally coherent.

**Lemma 3.2.** If  $\mathcal{M}$  is a noetherian object in  $Gr\mathcal{A}$ ,  $R^i \tau \mathcal{M}$  is locally coherent for all  $i \geq 0$ .

*Proof.* The module  $\mathcal{O}_X(j) \otimes e_k \mathcal{A}$  is noetherian by [5, Lemma 2.17] and the lemma holds with  $\mathcal{M} = \bigoplus_{(j,k) \in I} \mathcal{O}_X(j) \otimes e_k \mathcal{A}$  by Theorem 2.5.

To prove the result for arbitrary noetherian  $\mathcal{M}$ , we use descending induction on i. For i > 2,  $R^i \tau \mathcal{M} = 0$  by Theorem 2.5, so the result is trivial in this case. Since  $\mathcal{M}$  is noetherian, there is a finite subset  $I \subset \mathbb{Z} \times \mathbb{Z}$  and a short exact sequence

$$0 \to \mathcal{R} \to \bigoplus_{(j,k)\in I} \mathcal{O}_X(j) \otimes e_k \mathcal{A} \to \mathcal{M} \to 0$$

by [5, Lemma 2.17]. This induces an exact sequence of A-modules

$$\ldots \to (\mathbf{R}^i \tau (\bigoplus_{(j,k) \in I} \mathcal{O}_X(j) \otimes e_k \mathcal{A}))_l \to (\mathbf{R}^i \tau \mathcal{M})_l \to (\mathbf{R}^{i+1} \tau \mathcal{R})_l \to \ldots$$

The left module is coherent by the first part of the proof, while the right module is coherent by the induction hypothesis. Hence the middle module is coherent since X is noetherian.

**Corollary 3.3.** If  $\mathcal{M}$  is a noetherian object in  $Gr\mathcal{A}$ ,  $R^i(\omega(-)_k)(\pi\mathcal{M})$  is coherent for all  $i \geq 0$  and all  $k \in \mathbb{Z}$ .

*Proof.* Since  $(-)_k : \operatorname{\mathsf{Gr}} \mathcal{A} \to \operatorname{\mathsf{Mod}} X$  is an exact functor,  $\operatorname{R}^i(\omega(-)_k)(\pi\mathcal{M}) \cong \operatorname{R}^i\omega(\pi\mathcal{M})_k$ . Now, to prove  $\omega(\pi \mathcal{M})_k$  is coherent, we note that there is an exact sequence in  $\mathsf{Mod} X$ 

$$0 \to \tau \mathcal{M}_k \to \mathcal{M}_k \to \omega(\pi \mathcal{M})_k \to (\mathbf{R}^1 \tau \mathcal{M})_k \to 0$$

by [5, Theorem 4.11]. Since  $\mathcal{M}_k$  and  $(R^1\tau\mathcal{M})_k$  are coherent by Lemma 3.1 and Lemma 3.2 respectively,  $\omega(\pi \mathcal{M})_k$  is coherent since X is noetherian.

The fact that  $R^i \omega(\pi \mathcal{M})_k$  is coherent for i > 0 follows from Lemma 3.2 since, in this case,

(2) 
$$(\mathbf{R}^i \,\omega(\pi \mathcal{M}))_k \cong (\mathbf{R}^{i+1} \,\tau \mathcal{M})_k$$

by [5, Theorem 4.11].

**Lemma 3.4.** For  $\mathcal{N}$  noetherian in  $Gr\mathcal{A}$ ,  $R^1 \omega(\pi \mathcal{N})_k = 0$  for k >> 0.

*Proof.* When  $\mathcal{N} = \bigoplus_{(l,m)\in I} (\mathcal{O}_X(l) \otimes e_m \mathcal{A})$ , the result follows from (2) and Theorem 2.5.

More generally, there is a short exact sequence

$$0 \to \mathcal{R} \to \pi(\bigoplus_{(l,m)\in I} \mathcal{O}_X(l) \otimes e_m \mathcal{A}) \to \pi \mathcal{N} \to 0$$

which induces an exact sequence

$$\cdots \to R^1 \omega(\pi(\bigoplus_{(l,m)\in I} \mathcal{O}_X(l) \otimes e_m \mathcal{A})) \to R^1 \omega(\pi \mathcal{N}) \to R^2 \omega(\mathcal{R}) = 0.$$

where the right equality is due to (2) and Theorem 2.5. Since the left module is 0 in high degree, so is  $R^1 \omega(\pi \mathcal{N})$ . 

**Theorem 3.5.** For any noetherian object  $\mathcal{N}$  in  $Gr\mathcal{A}$ ,

- (1)  $\operatorname{Ext}^{i}_{\operatorname{Proj}\mathcal{A}}(\bigoplus_{(j,k)\in I} \pi(\mathcal{O}_{X}(j)\otimes e_{k}\mathcal{A}),\pi\mathcal{N})$  is finite-dimensional over K for all  $i\geq 0$ , and (2) for i>0,  $\operatorname{Ext}^{i}_{\operatorname{Proj}\mathcal{A}}(\bigoplus_{(j,k)\in I} \pi(\mathcal{O}_{X}(j)\otimes e_{k}\mathcal{A}),\pi\mathcal{N})=0$  whenever j<<0 and

*Proof.* Let d denote the cohomological dimension of X. Since  $\operatorname{Ext}^{i}_{\operatorname{Proj}\mathcal{A}}(-,\pi\mathcal{N})$ commutes with finite direct sums, it suffices to prove the theorem when I has only one element.

$$\operatorname{Hom}_{\mathsf{Proj}\mathcal{A}}(\pi(\mathcal{O}_X(j)\otimes e_k\mathcal{A}),\pi\mathcal{N}) \cong \operatorname{Hom}_{\mathsf{Gr}\mathcal{A}}(\mathcal{O}_X(j)\otimes e_k\mathcal{A},\omega\pi\mathcal{N})$$

$$\cong \operatorname{Hom}_{\mathcal{O}_X}(\mathcal{O}_X(j),\mathcal{H}om_{\mathsf{Gr}\mathcal{A}}(e_k\mathcal{A},\omega\pi\mathcal{N}))$$

$$\cong \operatorname{Hom}_{\mathcal{O}_X}(\mathcal{O}_X(j),\omega(\pi\mathcal{N})_k)$$

$$\cong \Gamma(\mathcal{O}_X(-j)\otimes\omega(-)_k)(\pi\mathcal{N})$$

where the second isomorphism is from Theorem 2.4 (3), while the third isomorphism is from Theorem 2.4 (2). Thus,

$$\operatorname{Ext}^i_{\operatorname{Proj}\mathcal{A}}(\pi(\mathcal{O}_X(j)\otimes e_k\mathcal{A}),\pi\mathcal{N})\cong\operatorname{R}^i(\Gamma\circ(\mathcal{O}_X(-j)\otimes\omega(-)_k))\pi\mathcal{N}.$$

If i = 0, (1) follows from Corollary 3.3 and [1, III, Theorem 5.2a, p. 228].

If 0 < i < d + 1, the Grothendieck spectral sequence gives us an exact sequence

$$(3) \qquad \ldots \to \mathrm{R}^i \, \Gamma(\mathcal{O}_X(-j) \otimes \omega(\pi \mathcal{N})_k) \to \mathrm{R}^i (\Gamma \circ \mathcal{O}_X(-j) \otimes \omega(-)_k) \pi \mathcal{N} \to$$

$$R^{i-1} \Gamma R^1(\mathcal{O}_X(-j) \otimes \omega(-)_k) \pi \mathcal{N} \to \dots$$

Since  $\omega(\pi \mathcal{N})_k$  and  $\mathrm{R}^1(\mathcal{O}_X(-j)\otimes\omega(-)_k)\pi\mathcal{N}\cong\mathcal{O}_X(-j)\otimes\mathrm{R}^1(\omega(-)_k)\pi\mathcal{N}$  are coherent by Corollary 3.3, the first and last terms of (3) are finite-dimensional by [1, III, Theorem 5.2a, p.228]. Thus, the middle term of (3) is finite-dimensional as well, which proves (1) in this case. To prove (2) in this case, we note that, since  $\omega(\pi \mathcal{N})_k$ is coherent, the first module of (3) is 0 for  $j \ll 0$  by [1, III, Theorem 5.2b, p.228]. If i > 1, the last module of (3) is 0 for j << 0 for the same reason. Finally, if i = 1, the last module of (3) is 0 since  $R^1 \omega(\pi \mathcal{N})_k = 0$  for k >> 0 by Lemma 3.4.

If i = d + 1, the Grothendieck spectral sequence gives an isomorphism

$$R^{d+1}(\Gamma \circ (\mathcal{O}_X(-j) \otimes \omega(-)_k)\pi \mathcal{N} \cong R^d \Gamma R^1(\mathcal{O}_X(-j) \otimes \omega(-)_k)\pi \mathcal{N}.$$

In this case, (1) again follows from Corollary 3.3 and [1, III, Theorem 5.2a, p.228], while (2) follows from Lemma 3.4.

Corollary 3.6. If  $\mathcal{M}$  and  $\mathcal{N}$  are noetherian objects in  $Gr\mathcal{A}$ ,  $Ext^{i}_{Proj\mathcal{A}}(\pi\mathcal{M},\pi\mathcal{N})$  is finite-dimensional for  $i \geq 0$ .

*Proof.* Since  $\mathcal{M}$  is noetherian, there is an exact sequence

$$0 \to \mathcal{R} \to \pi(\bigoplus_{(j,k)\in I} \mathcal{O}_X(j) \otimes e_k \mathcal{A}) \to \pi \mathcal{M} \to 0.$$

Since the central term is noetherian by Lemma 3.1, so is the  $\mathcal{R}$ . Since  $\operatorname{Hom}_{\mathsf{Proj}\mathcal{A}}(-,\pi\mathcal{N})$ is left exact, there are exact sequences

$$(4) \qquad 0 \to \operatorname{Hom}_{\mathsf{Proj}\mathcal{A}}(\pi\mathcal{M}, \pi\mathcal{N}) \to \operatorname{Hom}_{\mathsf{Proj}\mathcal{A}}(\pi(\bigoplus_{(j,k)\in I} \mathcal{O}_X(j) \otimes e_k\mathcal{A}), \pi\mathcal{N}) \to$$

and, for  $i \geq 1$ ,

$$(5) \to \operatorname{Ext}^{i-1}_{\operatorname{\mathsf{Proj}}\mathcal{A}}(\mathcal{R}, \pi\mathcal{N}) \to \operatorname{Ext}^{i}_{\operatorname{\mathsf{Proj}}\mathcal{A}}(\pi\mathcal{M}, \pi\mathcal{N}) \to \operatorname{Ext}^{i}_{\operatorname{\mathsf{Proj}}\mathcal{A}}(\pi(\bigoplus_{(j,k)\in I} \mathcal{O}_X(j) \otimes e_k \mathcal{A}), \pi\mathcal{N}) \to$$

Since  $\pi$  commutes with direct sums, the right-hand terms of (4) and (5) are finitedimensional by Theorem 3.5(1), while the left hand term of (5) is finite-dimensional by the induction hypothesis.

# 4. RIEMANN-ROCH AND ADJUNCTION

Let X be a smooth projective curve, let  $\mathcal{A}$  be the noncommutative symmetric algebra generated by a locally free  $\mathcal{O}_X$ -bimodule  $\mathcal{E}$  of rank 2, and let  $Y = \mathsf{Proj}\mathcal{A}$ . In this section, we state the Riemann-Roch theorem and adjunction formula for Y. In order to state these results, we need to define an intersection multiplicity on Y. This definition depends on the fact that Y has well behaved cohomology, so we begin this section by reviewing relevant facts regarding the cohomology of Y.

Let  $\mathcal{O}_Y = \pi \operatorname{pr}_{2*} e_0 \mathcal{A}$ . By [5, Theorem 5.20], Y satisfies Serre duality, i.e., there exists an object  $\omega_Y$  in  $\mathsf{Proj}\mathcal{A}$ , called the canonical sheaf on Y, such that

(6) 
$$\operatorname{Ext}_{Y}^{2-i}(\mathcal{O}_{Y}, -)' \cong \operatorname{Ext}_{Y}^{i}(-, \omega_{Y})$$

for all 0 < i < 2.

By [5, Theorem 4.16], Y has cohomological dimension two, i.e.

 $2 = \sup\{i \mid \operatorname{Ext}_{Y}^{i}(\mathcal{O}_{Y}, \mathcal{M}) \neq 0 \text{ for some noetherian object } \mathcal{M} \text{ in } \operatorname{\mathsf{Proj}} \mathcal{A}\}.$ 

We write  $D: Y \to Y$  for an autoequivalence,  $-D: Y \to Y$  for the inverse of D, and  $\mathcal{M}(D) := D(\mathcal{M})$  for  $\mathcal{M} \in Y$ .

Definition 4.1. [2, Definition 2.3] A weak divisor on Y is an element  $\mathcal{O}_D \in K_0(Y)$  of the form  $\mathcal{O}_D = [\mathcal{O}_Y] - [\mathcal{O}_Y(-D)]$  for some autoequivalence D of Y.

We now define an intersection multiplicity on Y following [2]. Let  $\mathcal{M}$  be a noetherian object in  $\text{Proj}\mathcal{A}$ , and let  $[\mathcal{M}]$  denote its class in  $K_0(Y)$ . We define a map  $\xi(-,\omega_Y):K_0(Y)\to\mathbb{Z}$  by

$$\xi([\mathcal{M}], \omega_Y) = \sum_{i=0}^{\infty} (-1)^i \dim_K \operatorname{Ext}_Y^i(\mathcal{M}, \omega_Y).$$

This map is well defined by (6), (7) and Corollary 3.6. If  $\mathcal{O}_D$  is a weak divisor on Y, we define a map  $\xi(\mathcal{O}_D, -): K_0(Y) \to \mathbb{Z}$  by

$$\xi(\mathcal{O}_D, [\mathcal{M}]) = \sum_{i=0}^{\infty} (-1)^i (\dim_K \operatorname{Ext}_Y^i(\mathcal{O}_Y, \mathcal{M}) - \dim_K \operatorname{Ext}_Y^i(\mathcal{O}_Y(-D), \mathcal{M})).$$

This map is well defined by (7) and Corollary 3.6. We define the intersection multiplicity of  $\mathcal{M}$  and  $\omega_Y$  by

$$\mathcal{M} \cdot \omega_Y := (-1)^{\operatorname{codim} \mathcal{M}} \xi([\mathcal{M}], \omega_Y)$$

for some suitably defined integer codim  $\mathcal{M}$ . Thus, if  $\mathcal{M}$  is a "curve" on Y, then  $\mathcal{M} \cdot \omega_Y = -\xi([\mathcal{M}], \omega_Y)$ . Similarly, we define the intersection multiplicity of  $\mathcal{O}_D$  and  $\mathcal{M}$  by

$$\mathcal{O}_D \cdot \mathcal{M} := -\xi(\mathcal{O}_D, [\mathcal{M}]).$$

We have yet to establish that  $\omega_Y$  is noetherian. However, if it is noetherian, notice that  $\mathcal{O}_D \cdot \omega_Y$  is defined unambiguously.

Finally, we define a map  $\chi(-): K_0(Y) \to \mathbb{Z}$  by

$$\chi([\mathcal{M}]) := \sum_{i=0}^{\infty} (-1)^i \dim_K \operatorname{Ext}_Y^i(\mathcal{O}_Y, \mathcal{M}).$$

Corollary 4.2. Let Y = ProjA, let  $\omega_Y$  denote the canonical sheaf on Y, and suppose  $\mathcal{O}_D$  is a weak divisor on Y. Then we have the following formulas:

(1) (Riemann-Roch)

$$\chi(\mathcal{O}_Y(D)) = \frac{1}{2}(\mathcal{O}_D \cdot \mathcal{O}_D - \mathcal{O}_D \cdot \omega_Y + \mathcal{O}_D \cdot \mathcal{O}_Y) + 1 + p_a$$

where  $p_a := \chi([\mathcal{O}_Y]) - 1$  is the arithmetic genus of Y.

(2) (Adjunction)

$$2q - 2 = \mathcal{O}_D \cdot \mathcal{O}_D + \mathcal{O}_D \cdot \omega_Y - \mathcal{O}_D \cdot \mathcal{O}_Y$$

where 
$$g := 1 - \chi(\mathcal{O}_D)$$
 is the genus of  $\mathcal{O}_D$ .

*Proof.* The quasi-scheme Y is Ext-finite by Corollary 3.6, has cohomological dimension 2 by [5, Theorem 4.16], and satisfies Serre duality with  $\omega_Y$  by [5, Theorem 5.20]. Thus, Y is classical Cohen-Macaulay, and the result follows [2, Theorem 3.11].

In stating the Corollary, we defined the intersection multiplicity only for specific elements of  $K_0(Y) \times K_0(Y)$ . In order to define an intersection multiplicity on the entire set  $K_0(Y) \times K_0(Y)$ , one must first prove that Y has finite homological dimension. In [3, Section 6], Mori and Smith study noncommutative  $\mathbb{P}^1$ -bundles  $Y = \operatorname{\mathsf{Proj}} \mathcal{A}$  such that  $\mathcal{A}$  is generated by a bimodule  $\mathcal{E}$  with the property that  $\mathcal{E} \otimes \mathcal{E}$ 

contains a nondegenerate invertible bimodule. In this case, they use the structure of  $K_0(Y)$  to prove that Y has finite homological dimension. They then compute various intersections on Y without the use of either the Riemann-Roch theorem or the adjunction formula. In particular, they prove that distinct fibers on Y do not meet, and that a fiber and a section on Y meet exactly once.

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